#### CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

f hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the behave the control of the power of the power of the power of the control of the power of the control of the control

on the pepus steered Name Richard G. Lione, Reg. No. 19,795 Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No.: JUL 20 2006

In re Appln. of: Hozumi Tanaka et al.

10/577,211

BRINKS HOFER GILSON &LIONE

April 25, 2006

REDUCED COENZYME Q-CONTAINING

COMPOSITION

Attorney Docket No:

5404/146

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

July 18, 2006

**TRANSMITTAL** 

Richard G. Lione (Reg. No. 19,795)

Examiner: Not Assigned Art Unit: Not Assigned

Sir:	Sir:										
Attached is/are:											
$\boxtimes$	Transmittal (in Duplicate); Information Disclosure Statement; Form PTO-1449; and a Copy of Each Listed Reference for Which a Copy is Required.										
	R	eturn Receipt Postc	ard								
Fee ca	alc	ulation:									
$\boxtimes$	No additional fee is required.										
	Sı	mall Entity.									
	Ar	n extension fee in ar	n amour	nt of \$ for a _	mont	h (	extensior	of time un	der 3	37 C.F.R.	§ 1.136(a).
	Α	petition or processir	ng fee ir	n an amount of \$	under :	37	C.F.R. §	1.17(	_).		
	Αı	n additional filing fee	has be	en calculated as sh	nown below	<b>v</b> :					
,							Sma	II Entity		Not a S	mall Entity
,		Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra		Rate	Add'l Fee	or	Rate	Add'l Fee
Total			Minus				x \$25=			x \$50=	
Indep.			Minus			_	x 100=			x \$200=	
First P	res	entation of Multiple De	p. Claim			_	+\$180=			+ \$360=	
				•		l	Total	\$		Total	\$
Fee p	Fee payment:										
	A check in the amount of \$ is enclosed.										
	Please charge Deposit Account No. 23-1925 in the amount of \$ . A copy of this Transmittal is enclosed for this purpose.										
	Payment by credit card in the amount of \$ (Form PTO-2038 is attached).										
$\boxtimes$	The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.										
ر ,	Respectfully submitted,  Respectfully submitted,  Respectfully submitted,										



In Appln. of: Hozumi Tanaka et al.

ppln. No.: 10/577,211

April 25, 2006

For:

Filed:

REDUCED COENZYME Q-

**CONTAINING COMPOSITION** 

Attorney Docket No: 5404/146

# **INFORMATION DISCLOSURE STATEMENT**

**Examiner: Not Assigned** 

Not Assigned

Art Unit:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following reference(s):

### **U.S. PATENT DOCUMENTS**

DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME
US 2002/0045596 A1	04/18/2002	Nielsen et al.
US 2003/0012774 A1	01/16/2003	Moldenhauer et al.
US 2005/0070481 A1	03/31/2005	Fujii et al.

## **FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY
JP 57-21319 A	02/04/1982	Japan
JP 63-83021 A	04/13/1988	Japan
EP 0 519 428 A2	12/23/1992	EPO
JP 5-178765 A	07/20/1993	Japan
JP 5-186340 A	07/27/1993	Japan
JP 7-69874 A	03/14/1995	Japan
JP 2000-510841 A	08/22/2000	Japan
JP 2002-104922 A	04/10/2002	Japan
JP 2003-26625 A	01/29/2003	Japan
JP 2003-119126 A	04/23/2003	Japan
JP 2003-520827 A	07/08/2003	Japan

Attorney Docket No. 5404/146

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### OTHER ART – NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

International Search Report from Corresponding International Application No. PCT/JP2004/016079, dated December 14, 2004, 1 page.

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R §1.98(a)(3). Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

July 18, 2006

Richard S. Lione (Reg. No.19,795)

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FORM PTO-1449	SERIAL NO.	CASE NO.
TRABELIAM!	10/577,211	5404/146
LIST OF PATENTS AND PUBLICATIONS FOR	FILING DATE	GROUP ART UNIT
APPLICANT'S INFORMATION DISCLOSURE	April 25, 2006	Not Assigned
STATEMENT	<u> </u>	
(use several sheets if necessary)	APPLICANT(S): Hozumi Tanak	a et al.

### REFERENCE DESIGNATION

## **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (If known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	US 2002/0045596 A1	04/18/2002	Nielsen et al.		
	A2	US 2003/0012774 A1	01/16/2003	Moldenhauer et al.		
	A3	US 2005/0070481 A1	03/31/2005	Fujii et al.		_

# **FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A4	JP 57-21319 A	02/04/1982	Japan		Abstract
	A5	JP 63-83021 A	04/13/1988	Japan		Abstract
	A6	EP 0 519 428 A2	12/23/1992	EPO		-
	A7	JP 5-178765 A	07/20/1993	Japan		Abstract
	A8	JP 5-186340 A	07/27/1993	Japan		Abstract
	A9	JP 7-69874 A	03/14/1995	Japan		Abstract
	A10	JP 2000-510841 A	08/22/2000	Japan		Abstract
	A11	JP 2002-104922 A	04/10/2002	Japan		Abstract
<del></del>	A12	JP 2003-26625 A	01/29/2003	Japan		Abstract
	A13	JP 2003-119126 A	04/23/2003	Japan		Abstract
	A14	JP 2003-520827 A	07/08/2003	Japan		Abstract

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS  (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.
	A15 International Search Report from Corresponding International Application No. PCT/JP2004/016079, dated December 14, 2004, 1 page.

EXAMINER	DATE CONSIDERED	
1		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.